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† ADMITTED ONLY IN CALIFORNIA

PATENT APPLICATION
Docket No. 15436.200

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop PATENT APPLICATION
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL FOR PATENT APPLICATION

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is a United States patent application entitled HEAT SPREADER FOR OPTICAL TRANSCEIVER COMPONENTS in the name of the following inventor(s): Donald A. Ice and Lewis B. Aronson.

Enclosed are the following:

- ☒ A specification, claims, abstract, and cover page in total comprising THIRTY-NINE (39) pages.
- ☒ EIGHT (8) sheets of drawings.
- ☒ A joint signature Declaration, Power of Attorney and Petition.
- ☒ An Assignment conveying the invention to Finisar Corporation, including a Form PTO 1595 recordation cover sheet.
- ☐ A Declaration Claiming Small Entity Status pursuant to 37 C.F.R. § 1.9 and § 1.27.
- ☐ The present application claims entitlement to Small Entity Status under 37 CFR §§ 1.9 and 1.27

21707 U.S. PTO
10/615107
07/08/03

___ Enclosed is a non-publication request requesting that the present application not be published.

X A Certificate of Mailing by "Express Mail" certifying a filing date by use of Express Mail Label No. EV 302 234 620 US.

___ Priority to _____ (country) Application Serial No. _____ filed on _____ is claimed under 35 U.S.C. § 119.

___ A certified copy of foreign priority application Serial No. _____.

___ An Associate Power of Attorney.

The filing fee has been calculated as shown below.

			SMALL ENTITY		LARGE ENTITY	
FOR	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
BASIC FEE				\$375		\$750
TOT. CLAIMS	32 - 20=	12	X 9=		12 X 18=	\$216
IND. CLAIMS	4 - 3=	1	X 42		1 X 84=	\$84
MULTIPLE DEPENDENT CLAIM			+140=		+280=	
			TOTAL		TOTAL	\$1,050.00

X Credit Card Payment Form PTO-2038 in the amount of \$1,090.00 is enclosed to cover:

X The \$1,050.00 government filing fee.

X The \$40.00 recordation fee of the enclosed assignment.

___ Please debit Deposit Account No. 23-3178 in the amount of \$_____ for the government filing fee.

___ Please debit Deposit Account No. 23-3178 in the amount of \$40.00 for recordation of the enclosed Assignment.

X The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 23-3178.

X Any additional filing fees required under 37 C.F.R. § 1.16.

X Any patent application processing fees under 37 C.F.R. § 1.17.

X The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-3178.

X Any filing fees under 37 C.F.R. § 1.16 for presentation of extra claims.

X Any patent application processing fees under 37 C.F.R. § 1.17.

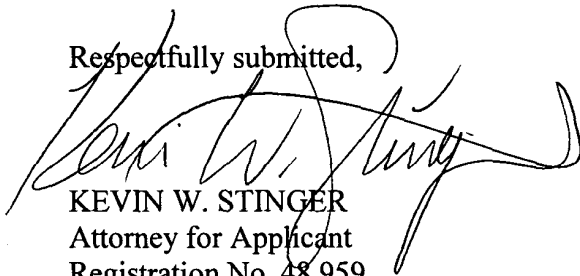
— The issue fee set forth in 37 C.F.R. § 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. § 1.311(b).

X A duplicate copy of this letter is enclosed.

Please address all future correspondence in connection with the above-identified patent application to the attention of the undersigned.

Dated this 8th day of July, 2003.

Respectfully submitted,


KEVIN W. STINGER
Attorney for Applicant
Registration No. 48,959



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

"Express Mail" Mailing Label No.: EV 302 234 620 US

Date of Deposit: July 8, 2003

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

I hereby certify that the following documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated below in an envelope addressed to Mail Stop PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450:

- Patent Application in the name(s) of Donald A. Ice and Lewis B. Aronson for HEAT SPREADER FOR OPTICAL TRANSCEIVER COMPONENTS (39 pages)
- Drawings (8 sheets)
- Assignment with Form PTO-1595 cover sheet (5 pages)
- Declaration, Power of Attorney and Petition (3 pages)
- Transmittal Letter (3 pages) (in duplicate)
- Credit Card Payment Form PTO-2038 for \$1,090.00
- Certificate of Express Mailing
- Postcard

Dated this 8th day of July, 2003.

Respectfully submitted,



JoAnn Cook, Assistant to
KEVIN W. STINGER
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